PTO/SB/05 (11-00)

Approved for use through 10/31/2002, OMB 0651-0032
Patent and Trademark office: U.S. DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

E-1596 CIP. First Inventor or Application Identifier Bliss, et al. Method And Apparatus For Fourier Transform Spectrometry

(Only for new nonprovisional application under 37 C F R. § 1 53(b))

EJ200815425US Express Mail Label No.

See MPEP ch	APPLICATION ELEM apter 600 concerning utility pater		Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231	
1. X 2. X 3. X	*Fee Transmittal Form (e.g (Submit an original and a d Applicant claims small ent Specification	uplicate for fee processing) ity status. [Total Pages 18	7. CD-ROM or CD-R in duplicate, large table or Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) 1. a. Computer Readable Form (CRF) b. Specification Sequence Listing on.	
- E - C - Si	Descriptive title of the Invention Cross Reference to Related Ap- tatement Regarding Fed sports eference to Microfiche Appe	on oplications sored R & D	i. CD-ROM of CD-R (2 copies); or ii. paper c. Statements verifying identity of above copies	
- B	ackground of the Invention		ACCOMPANYING APPLICATION PARTS	
- B	rief Summary of the Invention	n 💙	9, X Assignment Papers (cover sheet & document(s))	
- E - C	rief Description of the Drawin letailed Description laim(s) ubstract of the Disclosure Drawing(s) (35 U.S.C. 113)		10. 37 C F.R. § 3.73(b) Statement Power of (when there is an assignee) 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations	
a. [7	Newly executed (original		13. Preliminary Amendment 14. X Return Receipt Postcard (MPEP 503)	
b . <u>L</u>	i. DELETION OF Signed statement	atton (37 C.F.R. § 1.63(d)) INVENTOR(S) attached deleting d in prior application,	(Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)	
	see 37 C.F.R. §§	1 63(d)(2) and 1.33(b).	16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent.	
6.	Application Data Sheet	See 37 CFR 1.76	17. D Other	
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment Continuation Divisional X Continuation-in-part (CIP) of prior application No 09/058.717 Prior application information: Examiner: H. Lee Group/Art Unit 2877 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.				
		19. CORRESPONDEN		
Custor	ner Number or Bar Code Lab	el (Insert Customer No. or A	Attach bar code label here) or X Correspondence address below	
Name	Stephen R. May			
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City	Richland			
Country	USA		509) 375-2387 Fax (509) 375- 4487	
Name (Print/		phen R. May	Registration No. (Attorney/Agent) 29,255	
Signature	1 1 1	0111	Date	

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PTO/SB/17 (09/00)

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FEE TRANSMITT	'AL	Complete If Known			
For FY 2001		Application Number	not yet assigned		
Patent fees are subject to annual revision.		Filing Date	herewith		
		First Named Inventor	Bliss, et al.		
TOTAL AMOUNT OF PAYMENT (\$) 355.00		Examiner Name	not yet assigned		
		Group/Art Unit	not yet assigned		
		Attorney Docket No.	E-1596 CIP		

		L							
METHOD OF BAYMENT (-L)				EFF CALCULATION (continue)					
METHOD OF PAYMENT (check one)			FEE CALCULATION (continued) 3 ADDITIONAL FEES						
w	Commissioner is hereby authorized to charge cated fees and credit any overpayments to	Large		Small	Entity	Fee Description	Fee Paud		
Deposit	02-1275	Code	: (\$)	Code	(\$)				
Account Number		105	130	205	65	Surcharge- late filing fee or oath			
Deposit Account Name	Battelle Memorial Institute - PND	127	50	227	25	Surcharge- late provisional filing fee or cover sheet			
X	Charge Any Additional Fee Required Under 37 CFR §§ 1 16 and 1 17	139	130	139	130	Non-English specification			
X	Applicant claims small entity status	147 112			2,520 920*	For filing a request for reexamination Requesting publication of SIR prior to Examiner action			
2.Payment Er	nclosed Money Other Order	113	1,840	113	1,840*	Requesting publication of SIR after Examiner action			
	FEE CALCULATION	115 116	110 390	215 216	55 195	Extension for reply within first month Extension for reply within second month			
1. BASIC FI Large Entit	LING FEE ty Small Entity	117	890	217	445	Extension for reply within third month			
Fee Fee	Fee Fee Fee Description	118	1,390			Extension for reply within forth month			
Code (\$) 101 710	· · ·	128 119	1,890 310			Extension for reply within fifth month			
101 710	201 355 Utility filing fee 355 206 160 Designing filing fee	120	310			Notice of Appeal Filing a brief in support of an appeal			
107 490	207 245 Plant filing fee	121	270			Requesting for oral hearing			
108 710	208 355 Reissue filing fee	138	1,510		1,510	Petition to institute a public use proceeding			
114 150	214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	 		
	SUBTOTAL (1) (\$) 355	141	1,240	241	620	Petition to revive – unintentional			
2. EXTRA C	LAIM FEES Fee form	142	1,240	242	620	Utility issue fee (or reissue)			
	Extra Claims below Fee Paid	143	440	243	220	Design issue fee			
Total Claims	17 - 20 ** = 0 X 0 =	144	600	224		Plant issue fee			
Independent Claims	2 -3** = 0 X 0 =	122	130	122	130	Petitions to the Commissioner			
Multiple Dep		123	50	123	50	Petitions related to provisional applications			
	previously paid, if greater, For Reissues, see below	126	240	126	240	Submission of Information Disclosure Stmt			
	ity Small Entity	581	40	581	40	Recording each patent assignment per	L		
Fee Fee Code (\$)	•					property (times number of properties)	1		
103 18 102 80	203 9 Claims in excess of 20	146	710	246	355	Filing a submission after final rejection (37 CFR § 1 129(a))			
104 270	•	149	710	249	355	For each additional invention to be examined (37 CFR § 1 129(b))			
109 80 110 18	over original patent	179	710	279	355	Request for Continued Examination (RCE)			
110 18	210 9 **Reissue claims in excess of 20 and over original patent	Othe	r fee (s	pecify)	ı				
	SUBTOTAL (2) (S) -0-	'Redu	iced by	Basic	Filing Fe	e Paid SUBTOTAL (3) (S)			
SUBMITTE	D BY	-				Complete (if app	olicable)		

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)		Registration No		Telephone	
	Stephen R. May	(Attorney/Agent)	29,255		(509) 375-2387
Signature	Sephenylles	Λ		Date	april 4, 2001

SEND TO Assistant Commissioner for Patents, Washington, DC 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Mary Bliss, Richard D. Craig, and Norman C. Anheier)))
For: METHOD AND APPARATUS FOR FOURIER TRANSFORM SPECTROMETRY	Our Ref. No: E-1596 CIP Date: April 4, 2001
CERTIFICA:	TE OF MAILING
Box PATENT APPLICATION	

Dear Sir:

Washington, DC 20231

The undersigned hereby certifies that the attached:

				Patent Application of <u>18</u> pages, and
	Ľ	X]	Drawings of <u>16</u> Sheets
				Fee Transmittal (2 ea.)
\checkmark	[X]	Assignment / Cvr. Sheet
	[X]	Combined Declaration and Power of Attorney
	[Χ]	Small Entity
	[]	Information Disclosure
				[] with attachments

are being deposited with the United States Postal Service as:

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Commissioner of Patents and Trademarks

[X] Express Mail - Label #EJ200815425US in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date set forth below.

Signature

4/4/0/
Date Deposited

Janice K. Laybourn K1-53 Intellectual Property Services Battelle Memorial Institute Pacific Northwest Laboratories P.O. Box 999 Richland, WA 99352 (509) 375-2655